



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mingte Chen, Sing Yip, Yan Ma, Gilberto Arnaiz, Srikant Krishnapuram

Tirumalai, David Tchankotadze, Kuang Huang, Anil K. Annadata

Assignee:

Siebel Systems, Inc.

Title:

Asynchronous Message Push to Web Browser

Serial No.:

10/033,146

Filing Date:

October 27, 2001

Examiner:

Joshua Joo

Group Art Unit:

2154

Docket No.:

SBL0004P1US

Client Ref. No.

SIEB111/01US

Austin, Texas May 4, 2005

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)(2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 37 C.F.R. §1.98(a)(2), which was amended on September 21, 2004, to eliminate the requirement for submitting a copy of each U.S. patent or U.S. patent application publication listed in an IDS, no copies of U.S. patents or U.S. patent applications are provided. Copies of any listed foreign patent documents, pending non-published U.S. patent applications, and non-patent literature are enclosed.

05/06/2005 HGUTEMA1 00000037 502306

10033146

01 FC:1806

180.00 DA

PATENT

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the

instant invention;

2. a representation that a search has been made; or

3. an admission that the information cited herein is, or is considered to be, material

to patentability as defined in § 1.56(b).

Please charge the fee of \$180.00 under 37 CFR \$1.17(p) for submission of an

Information Disclosure Statement (plus any other fees which may be required) to Deposit

Account No. 502306.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia, 22313-1450, on May 4, 2005.

Attorney for Applicant(s)

Respectfully submitted,

D'Ann Naylor Rifai

Attorney for Applicant(s)

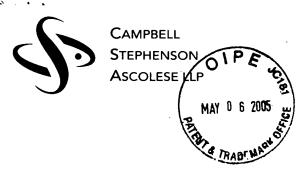
Reg. No. 47,026

Telephone: (512) 439-5086 Facsimile: (512) 439-5099

							Sheet I o	
U.S. Department of Commerce, Patent and Trademark Office						et No.	Serial No.	
			SBL0004F	SBL0004P1US				
200	CATIC	ON DISCLOSURE	Applicants					
	4.7	(Use several she	Mingte Chen, et al.					
R MAY O B	200		Filing Date		Group			
B MAY 0 8	2005		October 27, 2001		2154			
MADINI	וטא (U.S. P	Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,524,147	Jun. 4, 1996	Bean	379	265		
	AB	5,594,791	Jan. 14, 1997	Szlam et al.	379	265		
	AC	5,699,361	Dec. 16, 1997	Ding, et al.	370	431		
	AD	5,905,879	May 18, 1999	Lambrecht	395	297		
	AE	5,946,399	Aug. 31, 1999	Kitaj, et al.	380	49		
	AF	5,983,019	Nov. 9, 1999	Davidson	395	705		
	AG	6,092,102	July 18, 2000	Wagner	340	7.29		
-11200	AH	6,300,947	Oct. 9, 2001	Kanevsky	345	333		
	AI	6,332,154	Dec. 18, 2001	Beck, et al.	709	204		
	AJ	6,389,132	May 14, 2002	Price	379	265.01		
	AK	6,449,260	Sept. 10, 2002	Sassin et al.	370	270		
	AL	6,449,646	Sept. 10, 2002	Sikora et al.	709	226		
	AM	6,463,292	Oct. 8, 2002	Rahman	455	466		
	AN	6,493,695	Dec. 10, 2002	Pickering et al.	706	47		
	AO	6,507,868	Jan. 14, 2003	Simmon et al.	709	219		
			Patent Ap	plication Publication	_		<u> </u>	
		Publication Number	Pub. Date	Name	Class	Subclass	Filing Date If Appropriate	
•	AP	US 2003/0093533	May 15, 2003	Ezerzer et al.	709	227		
	AQ	US 2003/0018702	Jan. 23, 2003	Broughton et al.	709	202		
	AR	US 2003/0009520	Jan. 9, 2003	Nourbakhsh et al.	709	204		
	AS	US 2002/0032809 Mar. 14, 2004		Bateman et al.	710	5		
		OTHER A	ART (Including Au	thor, Title, Date, Pertir	nent Pages, Etc	;.)	<u> </u>	
	AT			n an Object-Oriented A nagement Software, No				
xaminer	aminer Date Considered							
				ot citation is in conform				
itation ii not i	n confor	mance and not cons	siucicu. Include co	opy of this form with y	our communic	ation to applica	ınt.	

I S. Departm	ent of Co	mmerce Patent and	1 Trademark Office		Atty Dock	et No		eet 2 o	
U.S. Department of Commerce, Patent and Trademark Office						Atty Docket No. SBL0004P1US		Serial No. 10/033,146	
NO	RMATIC	N DISCLOSURE	Applicants	10/033,140					
		(Use several she	Mingte Chen, et al.						
MAY 0		m:	Filing Date	Group					
		<u>\$</u> /	October 27, 2001		2154				
MAY	Dr MACH		IIC D	atent Documents	October 27		2134	- 1 ·	
*Examiner	1	Document	0.5. P	atent Documents			Filing	Date	
Initial		Number	Date	Name	Class	Subclass	If Appi		
	AA	6,704,409	March 9, 2004	Dilip et al.	379	265.02			
	AB	6,744,878	June 1, 2004	Komissarchik et al.	379	265.03			
	AC								
	AD								
	AE								
	AF					···			
	AG								
	AH								
	AI								
	AJ						-		
	AK								
		<u> </u>	Foreign	Patent Documents	1				
		Document	Date	Country	Class	Subclass	Yes	No	
	AL	EP 1113656 A2	July 4, 2001	EP	3	523			
	AM								
·	AN							1	
	AO								
	AP								
			Foreign Paten	t Application Publication			<u> </u>	1	
		Document	Date	Country	Class	Subclass	Yes	No	
	AQ	WO 97/42728	13 Nov 1997	PCT			Х		
· <u>-</u> -	AR	WO 00/49778	24 Aug 2000	PCT			х		
	AS	WO 97/16014	1 May 1997	PCT			X		
Examiner			Date Considered		<u> </u>			1	

T: 512-439-5080 F: 512-439-5099



May 4, 2005

James Soong Director of Intellectual Property, Legal Affairs Siebel Systems, Inc. 2207 Bridgepointe Parkway San Mateo, CA 94404

Re: U.S. Patent Application entitled "Asynchronous Message Push to Web Browser"

Serial No.:

10/033,146

Filing Date:

October 27, 2001

Inventors:

Mingte Chen, Sing Yip, Yan Ma, Gilberto Arnaiz, Srikant

Krishnapuram Tirumalai, David Tchankotadze, Kuang Huang,

Anil Kumar Annadata

Your Reference: SIEB111/01US

Our Reference:

SBL0004P1US

Dear Jim:

Enclosed please find a copy of the following documents, as submitted to the United States Patent and Trademark Office ("USPTO") today, in regard to the above-referenced patent application:

- 1. Information Disclosure Statement Under 37 CFR § 1.97(c)(2)(2 pages);
- 2. PTO Form 1449 citing 26 references (2 pages); and
- 3. Copy of the 4 cited foreign references and 1 non-patent literature reference.

We will keep you informed of further progress. Please call me if you have any questions.

Sincerely,

D'Ann Naylor Rifai

DNR/klg **Enclosures**